Docket No. 024-25-002 PATENT

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

William R. Ratcliffe

Confirmation No.:

6815

Serial No.:

10/773,352

Examiner:

Anthony T. Perry

Filed:

February 5, 2004

Group Art Unit:

2879

Title:

LIGHT-EMITTING STRUCTURES

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97(c)

Sir:

Pursuant to 37 C.F.R. §1.97(c), applicant brings to the attention of the Examiner the documents listed on the attached Form PTO-1449 (modified), the International Search Report and Written Opinion from the corresponding PCT application, and the prior art cited therein and translations of the Abstract. The Abstract for CN1437773 was not available therefore the corresponding published document WO0199207 is attached. This Supplemental Information Disclosure Statement is being filed before the mailing date of the Notice of Allowance on the merits for the above-referenced application.

In accordance with the PTO procedure (all patent applications filed after June 30, 2003), we have not enclosed the U.S. patent references cited on PTO form 1449.

Applicant respectfully requests that the Examiner consider the listed documents and evidence that consideration of relevant portions by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art". If the Examiner applies any of the documents as prior art against any claim in the application and applicant determines that the cited documents do not constitute "prior art" under United States law, applicant reserves the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

Supplemental Information Disclosure Statement

Serial No. 10/773,352

Docket No. 024-25-002

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

The Commissioner is hereby authorized to charge the fee set forth in § 1.17(p) to Deposit Account 11-1580.

Respectfully submitted,

Dated: AUCUST 28, 2007

James K. Dawson Registration No. 41,701 Attorney for Applicant

KOPPEL, PATRICK, HEYBL & DAWSON 555 St. Charles Drive, Suite 107 Thousand Oaks, California 91360 (805) 373-0060 Tel

		Sheet 1 of 1				
ORM PTO-1449 (Modified)	Docket No.: 024-25-002	Int. App. No.: 10/773,352				
INFORMATION DISCLOSURE CITATION IN AN APPLICATION	Applicant William R. Ratcliffe	9				
(Use several sheets if necessary)	Filed: February 5, 2004	Group Art Unit: 2879				

February 5, 2004

Group Art Unit:

## U.S. PATENT DOCUMENTS

(Use several sheets if necessary)

Examiner Initial	Docu	ment	Numbe	r				Date	Name	Class	Subclass	Filing Date If Appropriate		
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## FOREIGN PATENT DOCUMENTS

	Document Number											Date	Name	Class	Subclass	Trans	lation
																Yes	No
	W	0	0	6	1	3	4	4	1	0	A3	12/21/2006	Marpole International				
J	P	2	0	0	0	1	0_	1	1_	5	0	4/7/2000	Noda Tadao				
			J	P	2	2	8	3	0_	7	0	11/20/90	Fuji Electric				
			J	P	6	4	3	2	6	7	1	2/2/89	Hitachi Ltd				
		J	P	1	1	2	5	1	6	4	1	9/17/99	Kyoraku Sangyo KK				
			C	N	1	4	3	7	7	7	3	8/20/03	DuPont Display Inc.				
			W	0	0	1	9	9	2_	0	7	12/27/01	Uniax Corp.				

#### OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

O TITLE D	000	ALMID (Including Inchol) little, batch letellione lages, beel,
		PCT International Preliminary Report on Patentability (PCT/IB/373); Written Opinion of the International Searching Authority (PCT/ISA/237))
Examiner	•	Date Considered
EXAMINER: Init	ial i	f reference considered, whether or not citation is in conformance with MPEP 609; Draw line through

citation if not in conformance and not considered. Include copy of this form with next communication to applicant.